



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: 10/783,217

Confirmation No.:

6679

Applicant

Michi Garrison

Filing Date

02/20/2004

Title

METHODS AND APPARATUS FOR LOCALIZED AND SEMI-LOCALIZED

DRUG DELIVERY

Group Art Unit:

3763

Examiner

Not yet assigned

Docket No.

13854.4003

Customer No. :

34313

Commissioner For Patents Mail Stop Missing Parts P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Sir:

The Notice of Incomplete Reply (Nonprovisional) mailed November 4, 2004 stated that FIGS 20A-C were rejected for having a non-white background. The Applicants submitted formal figures on October 14, 2004, each of which had the required white backgrounds. Thus, the Applicants do not understand why this Notice of Incomplete Reply was issued. Nevertheless, the Applicants are hereby <u>re</u>submitting FIGS. 20A-C. However, the Applicants respectfully Request that the Patent Office verify that the Notice of Incomplete Reply dated November 4, 2004 was properly issued.

11/19/2004 CCHAU1

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CERTIFICATE OF MAILING 37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Missing Parts, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 15, 2004

Karen Johnson

Johnson

DOCSOC1:157175.1

Applicant	:	Michi Garrison
Appl. No.	:	10/783,217
Examiner	:	Not yet assigned
Docket No.	:	13854.4003

1. DOCUMENTS ENCLOSED:

In res	oonse to the NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)
which was m	ailed by the Patent Office on <u>November 4, 2004</u> , enclosed are:
	Declaration
	Power of Attorney: Separate or Combined with Declaration
	Assignment of the invention to (together with PTO Form 1595)
	Statement Under 37 CFR § 3.73(b)
\boxtimes	Replacement Formal Drawings (1 page; FIGS 20A-20C)
\boxtimes	A copy of the Notice of Incomplete Reply (Nonprovisional)

2. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION	FEE FOR SMALL	FEE FOR OTHER THAN
(months)	ENTITY	SMALL ENTITY
one month	\$55.00	\$110.00
two months	\$215.00	\$430.00
three months	\$490.00	\$980.00
four months	\$765.00	\$1,530.00
five months	\$1,040.00	\$2,080.00
		F 4040.00

Fee 1,040.00

If any extension fee is required, please consider this a petition therefor.

Applicant Appl. No. Examiner Docket No. Michi Garrison 10/783,217 Not yet assigned 13854.4003

3. FILING FEES

Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING F	EE:		\$0.00
Total Claims	217	$-217 = 0 \times 18.00	\$0.00
Independent Claims	23	$-24 = 0 \times 88.00	\$0.00
Multiple Dependent Claims	\$300	(if applicable)□	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)⊠	\$0.00
TOTAL OF ABOVE CALCULA	ATIONS		\$0.00
Reduction by ½ for Filing by St. 1.27, 1.28.	mall Enti	ty. Note 37 CFR §§ 1.9,	\$0.00
Extension of Time (from above	!)		\$1,040.00
Assignment \$40 (if applicab	le) 🗌		\$0.00
TOTAL FEES SU	JBMITTI	ED HEREWITH	\$1.040.00

4.	Method of Payment of	fees:
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	A check in the amount of \$ is enclosed to cover the above fee(s).
\boxtimes	Charge Orrick's Deposit Account No. 15-0665 in the amount of \$1,040.00.
\boxtimes	The Commissioner is authorized to charge Orrick's Deposit Account
	No. 15-0665 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445
	that are not covered, in whole or in part, by a check enclosed herewith and
	to credit any overpayments to said Deposit Account No. 15-0665.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: November 15, 2004

Mark Stirrat

Reg. No. 50,756

Orrick, Herrington & Sutcliffe LLP 4 Park Plaza, Suite 1600 Irvine, CA 92614-2558

Tel. 949-567-6700 Fax: 949-567-6710

Customer Number: 34313



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vignus 22313-1450 www.usptu.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/783.217 02/20/2004 Michi Gartison 13854.4003

34313 ORRICK, HERRINGTON & SUTCLIFFE, LLP 4 PARK PLAZA SUITE 1600 IRVINE, CA 92614-2558 CONFIRMATION NO. 6679 FORMALITIES LETTER *OC00000014291616*

Date Mailed: 11/04/2004

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/18/2004 to the Notice to File Missing Parts (Notice) mailed 05/14/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 20A-C.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE